

*Handwritten signature/initials*

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood                      Examiner: Andrew J. Rudy  
Serial No.: 09/608,682                      Group Art Unit: 3627  
Filed: June 29, 2000                      Docket: 9011  
Title: RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN  
A RELATIONAL DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

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Date of Deposit: January 29, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: *[Signature]*

Name: Suzie McCleave

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**(37 C.F.R. §1.97(c))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

**FEB - 5 2004**

**GROUP 3600**

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

Pursuant to 37 C.F.R. §1.97(c), please charge the amount of \$180.00 to Deposit Account No. 50-1673 of NCR Corporation, the assignee of the present application, for having the items of information listed considered after the mailing date of a first Office Action on-the-merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

02/04/2004 MBELETE1 00000120 501673 09608682

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No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.


Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicant(s)

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: January 29, 2004

GHG/sjm

By:   
Name: George H. Gates  
Reg. No.: 33,500

Form 1449* <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>	Docket Number: 9011	Application Number: 09/608,682
	Applicant: George R. Hood	
	Filing Date: June 29, 2000	Group Art Unit: 3627

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/62224	10/19/00	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	